



中華交易服務  
**CHINA**  
EXCHANGES  
SERVICES

## Combining the Power of THREE Exchanges to Connect the World



**As a joint venture among  
the 3 exchanges, CESC  
aims to contribute to the  
further internationalisation  
of China's capital markets.**

### Company Background

China Exchanges Services Company Limited (CESC) is a ground-breaking joint venture of Hong Kong Exchanges and Clearing Limited (HKEX), Shanghai Stock Exchange (SSE) and Shenzhen Stock Exchange (SZSE). Established in Hong Kong in 2012, CESC aims to contribute to the healthy development of China's capital markets and the deepening of the three exchanges' links with global investors.

### Mission Statement

CESC is designed to make contributions to the development of China's capital markets and the internationalisation of the three exchanges. CESC will develop new products, such as index-linked derivatives, and connect the rest of the world to the financial markets in Hong Kong and Mainland China. CESC will develop cross-border indices based on products traded on the three exchanges, develop industry classifications for listed companies,

and create information standards and products.

### Our Businesses

With resources from HKEX, SSE and SZSE in market data, business development and system support, and with the foundation of Mainland China and Hong Kong, CESC aims to be a comprehensive provider of exchange-related services. Relying on strong support from its shareholders, CESC's business started with developing cross-border indices covering the Hong Kong, Shanghai and Shenzhen markets and now includes management of the CESC's Index Series.

Looking forward, depending on market demand, CESC may expand its scope to cover Mainland enterprises listed overseas. Apart from its index business, CESC will develop more cross-border products for trading and will provide information services as well as other exchange services.

A Joint Venture of



## CESEC's Index Series

Name	Constituents	Market Coverage
CES China 120 Index (CES 120)	The CES 120, which is comprised of the largest 80 A-shares on the SSE and SZSE as well as the 40 largest Mainland stocks in Hong Kong, reflects the overall performance of the largest Mainland companies listed in Shanghai, Shenzhen and Hong Kong.	Cross markets
CES China A80 Index (CES A80)	The CES A80 represents the A-share portion of the CES120. It is comprised of the largest 80 A-shares on SSE and SZSE.	A-shares only
CES China HK Mainland Index (CES HKMI)	The CES HKMI represents the Hong Kong-listed Mainland enterprises in the CES 120. It is comprised of the 40 largest Mainland stocks traded at HKEX.	HK market only
CES China 280 Index (CES 280)	The CES 280 is comprised of the next 200 largest A-share companies and 80 Hong Kong-listed Mainland enterprises after the constituents of the CES 120. It reflects the overall performance of large and mid-cap Mainland enterprises in the Shanghai, Shenzhen and Hong Kong markets.	A-shares only
CES Stock Connect 300 Index (CES 300)	The CES 300 consists of the top 100 stocks by market capitalisation in each of the two Shanghai-Hong Kong connect markets, plus the top 100 stocks by market cap in the Shenzhen market (after the launch of Shenzhen-Hong Kong Stock Connect, it will be changed to the largest 100 stocks in the Northbound trading of Shenzhen-Hong Kong Stock Connect).	Cross markets
CES Stock Connect Hong Kong Select 100 (CES SCHK 100)	The CES SCHK100 comprises the top 100 Hong Kong-listed stocks by market value eligible for Stock Connect, excluding the stocks in the A+H shares group.	HK market only
CES Gaming Top 10 Index (CES G10)	The CES G10 consists of up to 10 of the largest gaming stocks listed in Hong Kong. It serves as an objective benchmark reflecting the overall performance of the gaming industry.	HK market only

As of 30 June 2016

## Product Licensing Business

The CES Index Series has been used to develop Hong Kong-listed derivatives and Exchange Traded Funds (ETFs), including cross-border equity index products and A-share index products. Such index-linked products give global investors access to Mainland Chinese financial assets, and Mainland Chinese investors access to overseas investment products through established channels like the Qualified Domestic Institutional Investor (QDII), Qualified Foreign Institutional Investor (QFII), RMB Qualified Foreign Institutional Investor (RQFII) schemes and the Stock Connect program.

## CESEC Index-linked ETFs and Derivatives Listed in Hong Kong

### Name

1. CES China 120 Index Futures
2. ChinaAMC CES China A80 Index ETF
3. CSOP CES China A80 ETF
4. E Fund CES China 120 Index ETF
5. CES Gaming Top 10 Index Futures

As of 30 June 2016

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